

Yuanta Financial Holding Company
Occupational Safety and Health Policy

Approved by the Board of Directors on June 28, 2024 (28th meeting of the 9th board)

- Article 1** In order to effectively prevent occupational accidents and promote safety and health in the workplace, this Policy is promulgated in accordance with ISO 45001 and domestic occupational safety and health related laws and regulations.
- Article 2** This Policy applies to the Company and its directly affiliated companies (hereinafter referred to as “subsidiaries”) as defined in the Company’s Measures for the Administration of Investment Business.
- Article 3** In order to build a disaster-free workplace environment and to protect the safety and health of employees, suppliers, contractors and other co-workers, this Policy promises to promote the following matters and to continuously strengthen the occupational safety and health management mechanism:
1. Invite employees or employee representatives of the Company or subsidiaries to participate in occupational safety and health related meetings and provide suggestions.
 2. Strictly comply with ISO 45001 and domestic occupational safety and health-related laws and regulations, formulate relevant regulations for occupational safety and health management, and implement them accordingly.
 3. Establish internal and external communication channels through consultation and communication management processes to continuously optimize the occupational safety and health system.
 4. Regularly assess occupational safety and health risks and prepare corresponding action plans, quantitative targets, and mitigation measures according to the level of risk.
 5. Enhance suppliers’ knowledge and practice of occupational safety and health through the supplier evaluation and contract performance management mechanism.
 6. Integrate external resources such as health and welfare authorities and non-profit organizations to promote workplace safety and health.
- Article 4** This Policy shall take effect, along with any subsequent amendments, upon receiving approval from the Company’s board of directors.